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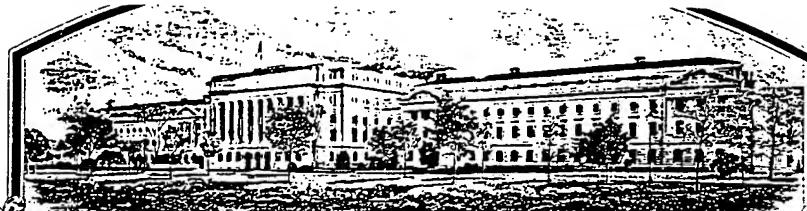
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No.

9900380



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Pioneer Hi-Bred International, Inc.

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSE, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

CORN, FIELD

'PH3KP'

In Testimony Whereof, I have hereunto set my hand  
and caused the seal of the Plant Variety  
Protection Office to be affixed at the City of  
Washington, D.C. this sixth day of November, in  
the year two thousand one.

Attest:

Paul M. Gouraud

Gorman

Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

Wilson et al.  
App. No. 10/768,407

REF  
A5

REPRODUCE LOCALLY. Include form number and date on all reproductions.

FORM APPROVED - OMB NO. 0581-0055

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
SCIENCE AND TECHNOLOGY DIVISION - PLANT VARIETY PROTECTION OFFICE

**APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE**  
(Instructions and Information collection burden statement on reverse)

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

1. NAME OF OWNER <b>Pioneer Hi-Bred International, Inc.</b>		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER	3. VARIETY NAME <b>PH3KP</b>
4. ADDRESS (Street and No., or RFD No., City, State and Zip Code, and Country) <b>7301 NW 62<sup>nd</sup> Avenue P.O. Box 85 Johnston, IA 50131-0085</b>		5. TELEPHONE (Include area code) <b>515/270-4051</b>	FOR OFFICIAL USE ONLY PVPO NUMBER <b>9900380</b>
		6. FAX (Include area code) <b>515/253-2125</b>	
7. IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.) <b>Corporation</b>	8. IF INCORPORATED, GIVE STATE OF INCORPORATION <b>IOWA</b>	9. DATE OF INCORPORATION <b>May 6, 1926</b>	FILING DATE <b>8/6/99</b>
10. NAME AND ADDRESS OF OWNER REPRESENTATIVE(S) TO SERVE IN THIS APPLICATION (FIRST PERSON LISTED WILL RECEIVE ALL PAPERS) <b>Steven R. Anderson Research and Product Development P.O. Box 85 Johnston, IA 50131-0085</b>			
11. TELEPHONE (Include area code) <b>515/270-4051</b>		12. FAX (Include area code) <b>515/253-2125</b>	13. E_MAIL <b>ANDERSONS@PHIBRED.COM</b>
15. GENUS AND SPECIES NAME OF CROP <b>Zea Mays</b>		16. FAMILY NAME (Botanical) <b>Gramineae</b>	14. CROP KIND NAME (Common name) <b>Corn</b>
18. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow instructions on reverse)		19. IS THE VARIETY A FIRST GENERATION HYBRID? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<input checked="" type="checkbox"/> Exhibit A. Origin and Breeding History of the Variety <input checked="" type="checkbox"/> Exhibit B. Statement of Distinctness <input checked="" type="checkbox"/> Exhibit C. Objective Description of the Variety <input checked="" type="checkbox"/> Exhibit D. Additional Description of the Variety (Optional) <input checked="" type="checkbox"/> Exhibit E. Statement of the Basis of the Owner's Ownership <input checked="" type="checkbox"/> Voucher Sample (2500 viable untreated seeds or, for tuber propagated varieties verification that tissue culture will be deposited and maintained in an approved public repository) <input checked="" type="checkbox"/> Filing and Examination Fee (\$2,450), made payable to "Treasurer of the United States" (Mail to Plant Variety Protection Office)		19. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE SOLD AS A CLASS OF CERTIFIED SEED? See Section 83(a) of the Plant Variety Protection Act. <input type="checkbox"/> YES (If "yes", answer items 20 and 21 below) <input checked="" type="checkbox"/> NO (If "no", go to Item 22) 20. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? <input type="checkbox"/> YES <input type="checkbox"/> NO 21. IF "YES" TO ITEM 20, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? <input type="checkbox"/> FOUNDATION <input type="checkbox"/> REGISTERED <input type="checkbox"/> CERTIFIED	
22. HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL) OR A HYBRID PRODUCED FROM THIS VARIETY BEEN SOLD, DISPOSED OF, TRANSFERRED, OR USED IN THE U.S. OR OTHER COUNTRIES? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO		23. IS THE VARIETY OR ANY COMPONENT OF THE VARIETY PROTECTED BY INTELLECTUAL PROPERTY RIGHT (PLANT BREEDER'S RIGHT OR PATENT)? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO IF YES, PLEASE GIVE COUNTRY, DATE OF FILING OR ISSUANCE AND ASSIGNED REFERENCE NUMBER. (Please use space indicated on reverse)	
IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPOSITION, TRANSFER, OR USE FOR EACH COUNTRY AND THE CIRCUMSTANCES. (Please use space indicated on reverse) <b>United States &amp; Canada Nov. 1, 1998</b>			
24. The owner(s) declare that a viable sample of basic seed of the variety will be furnished with application and will be replenished upon request in accordance with such regulations as may be applicable, or for a tuber propagated variety a tissue culture will be deposited in a public repository and maintained for the duration of the certificate.			
The undersigned owner(s) is/are the owner of this sexually reproduced or tuber propagated plant variety, and believe(s) that the variety is new, distinct, uniform, and stable as required in Section 42, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act.			
Owner(s) is/are informed that false representation herein can jeopardize protection and results in penalties.			
SIGNATURE OF OWNER		SIGNATURE OF OWNER 	
NAME (Please print or type)		NAME (Please print or type) <b>Steven R. Anderson</b>	
CAPACITY OR TITLE		CAPACITY OR TITLE <b>Senior Research Associate</b>	
		DATE <b>July 29, 1999</b>	

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## INSTRUCTIONS

**GENERAL:** To be effectively filed with the Plant Variety protection Office (PVPO), ALL of the following items must be received in the PVPO: (1) Completed application form signed by the owner; (2) completed Exhibits A,B,C,E; (3) for a seed reproduced variety at least 2,500 viable untreated seeds, for a hybrid that it will reproduce an entire plant) tissue culture will be deposited and maintained in a approved public repository; (4) check drawn on a U.S. bank for \$2,450 applications will be held in the PVPO for not more than 90 days, then returned to the applicant as unfiled. Mail application and other requirements to Plant Variety Protection Office, AMS, USDA, Room 500, NAL Building, 10301 Baltimore Avenue, Beltsville, MD 20705-2351. Retain one copy for your files. All items use masking materials to make corrections. If a certificate is allowed, you will be requested to send a check payable to "Treasurer of the United States" in the amount of \$300 for issuance of the certificate. Certificates will be issued to owner, not licensee or agent.

### Plant Variety Protection Office

Telephone: (301)504-5518

FAX: (301)504-5291

Homepage: <http://www.ams.usda.gov/science/pvp.htm>

#### ITEM

- 18a. Give: (1) the genealogy, including public and commercial varieties, lines, or clones used, and the breeding method;  
 (2) the details of subsequent stages of selection and multiplication;  
 (3) evidence of uniformity and stability; and  
 (4) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified.
- 18b. Give a summary of the variety's distinctness. Clearly state how this application variety may be distinguished from all other varieties in the same crop. If the new variety is most similar to one variety or a group of related varieties:  
 (1) identify these varieties and state all differences objectively;  
 (2) attach statistical data for characters expressed numerically and demonstrate that these are clear differences; and  
 (3) submit, if helpful, seed and plant specimens or photographs (prints) of seed and plant comparisons which clearly indicate distinctness.
- 18c. Exhibit C forms are available from the PVPO for most crops; specify crop kind. Fill in Exhibit C (Objective Description of Variety) form as completely as possible to describe your variety.
- 18d. Optional additional characteristics and/or photographs. Describe any additional characteristics that cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the characteristics that are difficult to describe, such as plant habit, plant disease resistance, etc.
- 18e. Section 52(5) of the Act required applicants to furnish a statement of the basis of the applicant's ownership. An Exhibit E form is available from the PVPO.
19. If "Yes" is specified (*seed of this variety be sold by variety name only, as a class of certified seed*), the applicant may NOT reverse this affirmative decision after the variety has been sold and so labeled, the decision published, or the certificate issued. However, if "No" has been specified, applicant may change the choice. (See *Regulations and Rules of Practice, Section 7.103*).
22. See Sections 41, 42, and 43 of the Act and Section 97.5 of the regulations for eligibility requirements.
23. See Section 5.5 of the Act for instructions on claiming the benefit of an earlier filing date.

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22. CONTINUED FROM FRONT (Please provide the date of first sale, disposition, transfer, or use for each country and the circumstances, if the variety (including any harvested material) or a hybrid produced from this variety has been sold, disposed of, transferred, or used in the U.S. or other countries.)

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23. CONTINUED FROM FRONT (Please give the country, date of filing or issuance, and assigned reference number, if the variety or any component of the variety is protected by intellectual property right (Plant Breeder's Right or Patent).)

**NOTES:** It is the responsibility of the applicant/owner to keep the PVPO informed of any changes of address or change of ownership or assignment or owner's representative during the life of the application/certificate. There is no charge for filing a change of address. The fee for filing a change of ownership or assignment or any modification of owner's name is specified in Section 97.175 of the regulations. (See Section 101 of the Act, and Sections 97.130, 97.131, 97.175(h) of *Regulations and Rules of Practice*.)

To avoid conflict with other variety names in use, the applicant should check the variety names proposed by contacting: Seed Branch, AMS, USDA, Room 213, Building 306, Beltsville Agricultural Research Center-East, Beltsville, MD 20705. Telephone: (301) 504-8089.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate of any other aspect of this collection of information, including 0055 and form number in your letter. Under the PRA of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

The U.S. Department of Agriculture (USDA) prohibits discrimination in its programs on the basis of race, color, national origin, sex, religion, age, disability, political beliefs, and marital or family status. (Not all prohibited bases apply to all programs). Persons with disabilities who require alternative means for communication of program information (braille, large print, audiotape, etc.) should contact the USDA Office of Communications at (202) 720-2791. To file a complaint, write the Secretary of Agriculture, U.S. Department of Agriculture, Washington, D.C. 20250, or call (202) 720-7327.

SAT-470 (06-98) DESIGNED BY THE Plant Variety Protection Office with WordPerfect 5.0a. Replaces STD-470 (03-98) which is obsolete. (See reverse for instructions and information collection burden statement.)

Exhibit A. Origin and Breeding History

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Pedigree: PHDG1/PHKW3)XA22224X

Pioneer Line PH3KP, Zea mays L., a dent corn inbred, was developed by Pioneer Hi-Bred International, Inc. from the single cross hybrid PHDG1 (PVP Certificate No. 9500209) X PHKW3 (PVP Certificate No. 9500209) using the pedigree method of plant breeding. Varieties PHDG1 and PHKW3 are proprietary inbred lines of Pioneer Hi-Bred International, Inc. Selfing and selection were practiced within the segregating population from the above hybrid for 6 generations using pedigree selection. During line development, crosses were made to inbred testers for the purpose of estimating the line's combining ability. Yield trials were grown at Huron, South Dakota, as well as other Pioneer research locations. After initial testing, additional hybrid combinations have been evaluated and subsequent generations of the line have been grown and hand-pollinated with observations again made for uniformity.

Variety PH3KP has shown uniformity and stability for all traits as described in Exhibit C - "Objective Description of Variety". It has been self-pollinated and ear-rowed 5 generations with careful attention paid to selection criteria and uniformity of plant type to assure genetic homozygosity and phenotypic stability. The line has been increased both by hand and in isolated fields with continued observations for uniformity and stability for a minimum of 3 generations during the final stages of inbred development and seed multiplication. Very high standards for genetic purity have been established morphologically using field observations and electrophoretically using sound lab molecular marker methodology.

No variant traits have been observed or are expected in PH3KP.

The criteria used in the selection of PH3KP were yield, both per se and in hybrid combinations; late season plant health, grain quality, stalk lodging resistance, and kernel size, especially important in production. Other selection criteria include: ability to germinate in adverse conditions; number of tillers, especially important in production because having numerous tillers increases hybrid production costs spent on detasseling; disease and insect resistance; pollen yield, tassel size, resistance to barrenness and ear mold, seedling vigor, and grain texture.

## Exhibit A: Developmental history for PH3KP

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Season/Year Pedigree Grown	Inbreeding Level of Pedigree Grown
Summer, 1991	F0
Winter, 1991-92	F1
Summer, 1992	F2
Summer, 1994	F3
Winter, 1994-95	F4
Summer, 1995	F5
Winter, 1995-96	F6
Summer, 1996	F7 Bulk increase
Winter, 1996-97	F8 Breeder Seed increase

\*PH3KP was selfed and ear-rowed from F3 through F7 generation.

#Uniformity and stability were established from F6 through F8 generation and beyond when seed supplies were increased.

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**Exhibit B. Novelty Statement**

Variety PH3KP mostly resembles Pioneer Hi-Bred International, Inc. proprietary inbred line PHKW3 (PVP Certificate No. 9500209). The data in Tables 1A and 1B are from paired comparisons collected primarily in Johnston and Ankeny, IA. The data in Table 2 are from paired comparisons at multiple locations grown primarily in the adapted growing area of PH3KP. The traits collectively show measurable differences between the two varieties.

Variety PH3KP has a shorter husk extension length (3.6 cm vs 9.8 cm) than PHKW3 (Table 1A, 1B).

Variety PH3KP has a shorter husk length (19.2 cm vs 25.5 cm) than PHKW3 (Table 1A, 1B).

Variety PH3KP has a shorter tassel length (53.8 cm vs 67.6 cm) than PHKW3 (Table 1A, 1B).

Variety PH3KP has a higher seedling vigor score (SDGVGR) (6.3 vs 4.0) than PHKW3 (Table 2).

Variety PH3KP reaches 50% pollen shed (GDUSHD) sooner (1343 GDU's vs 1392 GDU's) than PHKW3 (Table 2).

Variety PH3KP reaches 50% silking (GDUSLK) sooner (1343 GDU's vs 1432 GDU's) than PHKW3 (Table 2).

Variety PH3KP has shorter plant height (PLTHT) (168.4 cm vs 205.0 cm) than PHKW3 (Table 2).

Variety PH3KP has shorter ear height (EARHT) (70.1 cm vs 82.6 cm) than PHKW3 (Table 2).

*3as  
S1/c/c1*  
A t-test was used to compare differences between means and the appropriate parameters have been included. Due to the way our historical data has been stored, it is difficult to obtain standard deviations for table 2.

### Exhibit B Novelty Statement Tables

**Table 1A. These data Indicate differences between varieties PH3KP and PHKW3. Data are from Johnston and Ankeny, Iowa at 2 different locations in 1997 and 3 different locations in 1998. A t-test was used to compare differences between means. Five plants were measured at each location.**

station	loc	year	Trait	Variety	1997	1998	Count	C1	C2	Mean	Mean	Mean	StdDev	StdDev	StdDev	t-Value	t-Value	t-Value	DF	Pooled	Pooled	Prob (2-tail)	Pooled	
AD	20N	1997	husk extension length (cm)	PH3KP	PHKW3	5	5	3.6	9.4	-5.8	0.894	0.894	0.400	0.400	0.400	0.400	0.400	0.400	8	-10.25	0.000			
JH	21	1997	husk extension length (cm)	PH3KP	PHKW3	5	5	3.0	9.8	-6.6	1.225	2.074	0.548	0.927	0.927	0.927	0.927	0.927	0.927	8	-6.13	0.000		
AD	20N	1998	husk extension length (cm)	PH3KP	PHKW3	5	5	3.0	9.6	-6.6	1.000	1.342	0.447	0.600	0.600	0.600	0.600	0.600	0.600	8	-8.82	0.000		
IT	NF	1998	husk extension length (cm)	PH3KP	PHKW3	5	5	3.0	9.6	-6.6	1.225	1.140	0.548	0.510	0.510	0.510	0.510	0.510	0.510	8	-8.82	0.000		
JH	95	1998	husk extension length (cm)	PH3KP	PHKW3	5	5	5.4	10.8	-5.4	1.342	1.304	0.600	0.583	0.583	0.583	0.583	0.583	0.583	8	-6.45	0.000		
AD	20N	1997	husk length (cm)	PH3KP	PHKW3	5	5	20.8	25.8	-5.0	0.837	0.837	0.374	0.374	0.374	0.374	0.374	0.374	8	-9.45	0.000			
JH	21	1997	husk length (cm)	PH3KP	PHKW3	5	5	20.6	25.4	-4.8	0.894	1.140	0.400	0.510	0.510	0.510	0.510	0.510	0.510	8	-7.41	0.000		
AD	20N	1998	husk length (cm)	PH3KP	PHKW3	5	5	17.4	25.0	-7.6	0.894	1.871	0.400	0.837	0.837	0.837	0.837	0.837	0.837	8	-8.20	0.000		
IT	NF	1998	husk length (cm)	PH3KP	PHKW3	5	5	18.2	25.0	-6.8	0.447	1.871	0.200	0.837	0.837	0.837	0.837	0.837	0.837	8	-7.90	0.000		
JH	95	1998	husk length (cm)	PH3KP	PHKW3	5	5	18.8	26.2	-7.4	0.837	1.304	0.374	0.583	0.583	0.583	0.583	0.583	0.583	8	-10.68	0.000		
AD	20N	1997	tassel length (cm)	PH3KP	PHKW3	5	5	55.2	61.0	-5.8	3.421	1.581	1.530	0.707	0.707	0.707	0.707	0.707	0.707	8	-3.44	0.009		
JH	21	1997	tassel length (cm)	PH3KP	PHKW3	5	5	53.2	69.6	-16.4	2.683	4.561	1.200	2.040	2.040	2.040	2.040	2.040	2.040	8	-6.93	0.000		
AD	20N	1998	tassel length (cm)	PH3KP	PHKW3	5	5	53.0	61.4	-8.4	4.899	5.983	2.191	2.676	2.676	2.676	2.676	2.676	2.676	8	-2.43	0.041		
IT	NF	1998	tassel length (cm)	PH3KP	PHKW3	5	5	54.2	72.8	-18.6	3.493	3.564	1.582	1.594	1.594	1.594	1.594	1.594	1.594	8	-8.33	0.000		
JH	95	1998	tassel length (cm)	PH3KP	PHKW3	5	5	53.6	73.2	-19.6	4.506	6.458	2.015	2.888	2.888	2.888	2.888	2.888	2.888	8	-5.57	0.001		

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**Table 1B. Summary data from Johnston and Ankeny, Iowa in 1997 and 1998, and across environments.**

Year	Trait	Variety 1	Variety 2	Count 1	Count 2	Mean 1	Mean 2	Std Dev 1	Std Dev 2	t-Value	D.F.	Prob (2-tail)		
1997	husk extension length (cm)	PH3KP	PHKW3	10	10	9.5	9.5	-6.2	1.059	0.335	0.477	18	-10.63	0.000
1998	husk extension length (cm)	PH3KP	PHKW3	16	15	3.8	10.0	-8.2	1.612	1.309	0.416	28	-11.56	0.000
1997	husk length (cm)	PH3KP	PHKW3	10	10	20.7	25.6	-4.9	0.823	0.966	0.260	18	-12.21	0.000
1998	husk length (cm)	PH3KP	PHKW3	15	15	18.1	25.4	-7.3	0.915	1.682	0.236	28	-14.70	0.000
1997	tassel length (cm)	PH3KP	PHKW3	10	10	54.2	65.3	-11.1	3.084	5.559	0.975	18	-5.52	0.000
1998	tassel length (cm)	PH3KP	PHKW3	15	15	53.6	69.1	-15.5	4.050	7.605	1.046	28	-6.98	0.000

Trait	Variety 1	Variety 2	Count 1	Count 2	Mean 1	Mean 2	Std Dev 1	Std Dev 2	t-Value	D.F.	Prob (2-tail)		
husk extension length (cm)	PH3KP	PHKW3	25	25	3.6	9.8	1.414	1.384	0.277	6.2	48	-15.66	0.000
husk length (cm)	PH3KP	PHKW3	25	25	19.2	25.5	1.546	1.418	0.309	6.3	48	-15.06	0.000
tassel length (cm)	PH3KP	PHKW3	25	25	53.8	67.6	3.636	7.000	0.727	1.400	48	-8.72	0.000

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### Exhibit B. Novelty Statement Tables

**Table 2.** These data indicate differences between varieties PH3KP and PHKW3. Data are from multiple locations and years grown primarily in the adapted growing area.

Variety 1 = PH3KP  
 Variety 2 = PHKW3

		SDG	GDU	GDU	PLT	EAR
YEAR	VAR #	VGR ABS	SHD ABS	SLK ABS	HT ABS	HT ABS
		-----	-----	-----	CM	CM
1996	1		1283	1290		
	2		1367	1403		
	LOCS		3	3		
	PROB	.074*	.040+			
1997	1	6.4	1345	1344	170.4	71.1
	2	3.9	1389	1424	205.5	86.6
	LOCS	16	28	26	17	14
	PROB	.000#	.000#	.000#	.000#	.001#
1998	1	6.0	1347	1347	165.9	68.8
	2	4.3	1397	1440	204.2	76.7
	LOCS	6	33	33	13	10
	PROB	.020+	.000#	.000#	.000#	.050*
TOTAL SUM	1	6.3	1343	1343	168.4	70.1
	2	4.0	1392	1432	205.0	82.6
	LOCS	22	64	62	30	24
	DIFF	2.3	49	89	36.3	12.5
	PROB	.000#	.000#	.000#	.000#	.000#

Exhibit C  
(Corn Maize)

United States Department of Agriculture, Agricultural Marketing Service  
Science Division, Plant Variety Protection Office  
National Agricultural Library Building, Room 500  
Beltsville, MD 20705

9900380

Objective Description of Variety  
Corn (Zea mays L.)

Name of Applicant(s) <b>Pioneer Hi-Bred International, Inc.</b>	Variety Seed Source	Variety Name or Temporary Designation <b>PH3KP</b>		
Address (Street & No., or RFD No., City, State, Zip Code and Country) <b>7301 NW 62<sup>nd</sup> Avenue, P.O. Box 85, Johnston, Iowa 50131-0085</b>	<b>FOR OFFICIAL USE</b>	PVP# Number		
Place the appropriate number that describes the varietal characters typical of this inbred variety in the spaces below. Right justify whole numbers by adding Leading zeroes if necessary. Completeness should be striven for to establish an adequate variety description. Traits designated by an "*" are considered Necessary for an adequate variety description and must be completed.				
COLOR CHOICES (Use in conjunction with Munsell color code to describe all color choices: describe #25 and #26 in Comments section):				
01=Light Green	06=Pale Yellow	11=Pink	16=Pale Purple	21=Buff
02=Medium Green	07=Yellow	12=Light Red	17=Purple	22=Tan
03=Dark Green	08=Yellow Orange	13=Cherry Red	18=Colorless	23=Brown
04=Very Dark Green	09=Salmon	14=Red	19=White	24=Bronze
05=Green-Yellow	10=Pink-Orange	15=Red & White	20=White Capped	25=Variegated (Describe)
				26=Other (Describe)
<b>STANDARD INBRED CHOICES</b> (Use the most similar (in background and maturity) of these to make comparisons based on grow-out trial data):				
Yellow Dent Families:		Yellow Dent (Unrelated):	Sweet Corn:	
Family	Members	Co109, ND246,	C13, Iowa5125, P39, 2132	
B14	CM105, A632, B64, B68	Oh7, T232,		
B37	B37, B76, H34	W117, W153R,	Popcorn:	
B73	N192, A679, B73, NC268	W18BN	SG1533, 4722, HP301, HP7211	
C103	Mo17, Va102, Va35, A682		Pipcorn:	
OH43	A619, MS71, H99, Va26	White Dent:	Mo15W, Mo16W, Mo24W	
WF9	W64A, A554, A654, Pa91	C166, H105, Kv228		

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## EXHIBIT C: PH3KP

1. TYPE: (describe intermediate types in Comments section):  2 1=Sweet 2=Dent 3=Flint 4=Flour 5=Pop 6=Ornamental		Standard Variety Name  <u>H99</u>	
2. REGION WHERE DEVELOPED IN THE U.S.A.:  2 1=Northwest 2=Northcentral 3=Northeast 4=Southeast 5=Southcentral 6=Southwest 7=Other		Standard Seed Source  <u>AMES 15931</u>	
3. MATURITY (In Region of Best Adaptability; show Heat Unit formula in 'Comments' section)  DAYS HEAT UNITS  069 1.301.8 From emergence to 50% of plants in silk 070 1.311.6 From emergence to 50% of plants in pollen 004 0.103.0 From 10% to 90% pollen shed From 50% silk to optimum edible quality 072 1.506.6 From 50% silk to harvest at 25% moisture		DAYS HEAT UNITS  070 1.300.0 070 1.310.6 004 0.104.0 075 1.551.0	
4. PLANT:  177.2 cm Plant Height (to tassel tip) 066.8 cm Ear Height (to base of top ear node) 015.1 cm Length of Top Ear Intemode 0.0 Average Number of Tillers 1.4 Average Number of Ears per Stalk 1 Anthocyanin of Brace Roots: 1=Absent 2=Faint 3=Moderate 4=Dark	Standard Deviation	Sample Size	Standard Deviation Sample Size
08.6 cm Width of Ear Node Leaf 78.6 cm Length of Ear Node Leaf 05 Number of leaves above top ear 29 Degrees Leaf Angle (measure from 2nd leaf above ear at anthesis to stalk above leaf)  03 Leaf Color (Munsell code) 5GY34 1 Leaf Sheath Pubescence (Rate on scale from 1=none to 9=like peach fuzz) 4 Marginal Waves (Rate on scale from 1=none to 9=many) 8 Longitudinal Creases (Rate on scale from 1=none to 9=many)	00.67 05.80 00.76 11.65  03 1 2 5	05 05 05 05  00.38 06.01 00.38 11.41  5GY34 5GY34	07.8 00.38 05 65.2 06.01 05 06 00.38 05 31 11.41 05  1 2 5
5. LEAF:  07 Number of Primary Lateral Branches 21 Branch Angle from Central Spike 53.8 cm Tassel Length (from top leaf collar to tassel tip) 7 Pollen Shed (rate on scale from 0=male sterile to 9=heavy shed) 07 Anther Color (Munsell code) 7.5Y8.58 01 Glume Color (Munsell code) 5GY56 1 Bar Glumes (Glume Bands): 1=Absent 2=Present	Standard Deviation	Sample Size	Standard Deviation Sample Size
Application Variety Data		Page 1	Standard Variety Data

10:

7a. EAR (Unhusked Data):		Standard	Sample	Standard	Sample
		Deviation	Size	Deviation	Size
<u>01</u> Silk Color (3 days after emergence) (Munsell code)	<u>5GY86</u>	<u>.07</u>	<u>2.5GY96</u>		
<u>01</u> Fresh Husk Color (25 days after 50% silking) (Munsell code)	<u>5GY68</u>	<u>.01</u>	<u>5GY78</u>		
<u>21</u> Dry Husk Color (65 days after 50% silking) (Munsell code)	<u>2.5Y8.54</u>	<u>.21</u>	<u>2.5Y84</u>		
1 Position of Ear at Dry Husk Stage: 1=Upright 2=Horizontal 3=Pendant			2		
2 Husk Tightness (Rate of Scale from 1=very loose to 9=very tight)			7		
3 Husk Extension (at harvest): 1=Short (ears exposed) 2=Medium (<8 cm)			2		
3=Long (8-10 cm beyond ear tip) 4=Very Long (>10 cm)					
7b. EAR (Husked Ear Data):		Standard	Sample	Standard	Sample
		Deviation	Size	Deviation	Size
<u>14.8</u> cm Ear Length	<u>.01.79</u>	<u>.05</u>	<u>14.4</u> <u>00.55</u>	<u>.05</u>	
<u>39.4</u> mm Ear Diameter at mid-point	<u>.01.82</u>	<u>.05</u>	<u>35.0</u> <u>00.71</u>	<u>.05</u>	
<u>102.0</u> gm Ear Weight	<u>17.65</u>	<u>.05</u>	<u>78.2</u> <u>10.35</u>	<u>.05</u>	
<u>16</u> Number of Kernel Rows	<u>.00.84</u>	<u>.05</u>	<u>11.6</u> <u>00.55</u>	<u>.05</u>	
2 Kernel Rows: 1=Indistinct 2=Distinct			2		
2 Row Alignment: 1=Straight 2=Slightly Curved 3=Spiral			1		
<u>11.8</u> cm Shank Length	<u>.03.27</u>	<u>.05</u>	<u>06.6</u> <u>03.44</u>	<u>.05</u>	
2 Ear Taper: 1=Slight 2=Average 3=Extreme			2		
8. KERNEL (Dried)		Standard	Sample	Standard	Sample
		Deviation	Size	Deviation	Size
<u>10.2</u> mm Kernel Length	<u>.00.84</u>	<u>.05</u>	<u>09.0</u> <u>00.00</u>	<u>.05</u>	
<u>08.0</u> mm Kernel Width	<u>.00.00</u>	<u>.05</u>	<u>08.4</u> <u>00.55</u>	<u>.05</u>	
<u>05.0</u> mm Kernel Thickness	<u>.00.00</u>	<u>.05</u>	<u>04.8</u> <u>00.45</u>	<u>.05</u>	
<u>61.2</u> % Round Kernels (Shape Grade)	<u>14.31</u>	<u>.05</u>	<u>42.0</u> <u>26.90</u>	<u>.05</u>	
1 Aleurone Color Pattern: 1=Homozygous 2=Segregating			1		
<u>07</u> Aleurone Color (Munsell code)		<u>10YR610</u>	<u>.07</u>	<u>10YR814</u>	
<u>07</u> Hard Endosperm Color (Munsell code)		<u>1.25Y812</u>	<u>.07</u>	<u>2.5Y812</u>	
<u>03</u> Endosperm Type:			3		
1=Sweet (Su1) 2=Extra Sweet (sh2) 3=Normal Starch					
4=High Amylose Starch 5=Waxy Starch 6=High Protein					
7=High Lysine 8=Super Sweet (se) 9=High Oil					
10=Other _____					
<u>27.6</u> gm Weight per 100 Kernels (unsized sample)	<u>.01.82</u>	<u>.05</u>	<u>24.20</u> <u>03.42</u>	<u>.05</u>	
9. COB:		Standard	Sample	Standard	Sample
		Deviation	Size	Deviation	Size
<u>21.8</u> mm Cob Diameter at mid-point	<u>.01.48</u>	<u>.05</u>	<u>21.6</u> <u>00.55</u>	<u>.05</u>	
<u>11</u> Cob Color (Munsell code)	<u>10R56</u>			<u>.19</u>	<u>2.5Y92</u>

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10. DISEASE RESISTANCE (Rate from 1 (most susceptible) to 9 (most resistant); leave blank if not tested; leave Race or Strain Options blank if polygenic):

A. Leaf Blights, Wilts, and Local Infection Diseases

- Anthracnose Leaf Blight (*Colletotrichum graminicola*)
- Common Rust (*Puccinia sorghi*)
- Common Smut (*Ustilago maydis*)
- 6 Eyespot (*Kabatiella zaeae*)
- 8 Goss's Wilt (*Clavibacter michiganense spp. nebraskense*)
- 4 Gray Leaf Spot (*Cercospora zeae-maydis*)
- Helminthosporium Leaf Spot (*Bipolaris zeicola*) Race \_\_\_\_\_
- 5 Northern Leaf Blight (*Exserohilum turcicum*) Race \_\_\_\_\_
- Southern Leaf Blight (*Bipolaris maydis*) Race \_\_\_\_\_
- Southern Rust (*Puccinia polysora*)
- Stewart's Wilt (*Erwinia stewartii*)
- Other (Specify) \_\_\_\_\_

6  
8  
2  
8

B. Systemic Diseases

- Com Lethal Necrosis (MCMV and MDMV)
- 9 Head Smut (*Sphacelotheca reiliana*)
- Maize Chlorotic Dwarf Virus (MDV)
- Maize Chlorotic Mottle Virus (MCMV)
- Maize Dwarf Mosaic Virus (MDMV)
- Sorghum Downy Mildew of Corn (*Peronosclerospora sorghi*)
- Other (Specify) \_\_\_\_\_

9

C. Stalk Rots

- Anthracnose Stalk Rot (*Colletotrichum graminicola*)
- Diplodia Stalk Rot (*Stenocarpella maydis*)
- Fusarium Stalk Rot (*Fusarium moniliiforme*)
- Gibberella Stalk Rot (*Gibberella zaeae*)
- Other (Specify) \_\_\_\_\_

D. Ear and Kernel Rots

- Aspergillus Ear and Kernel Rot (*Aspergillus flavus*)
- Diplodia Ear Rot (*Stenocarpella maydis*)
- Fusarium Ear and Kernel Rot (*Fusarium moniliiforme*)
- 6 Gibberella Ear Rot (*Gibberella zaeae*)
- Other (Specify) \_\_\_\_\_

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## 11. INSECT RESISTANCE (Rate from 1 (most susceptible) to 9 (most resistant); (leave blank if not tested):

Banks grass Mite (*Oligonychus pratensis*)  
 Corn Worm (*Helicoverpa zea*)  
   Leaf Feeding  
   Silk Feeding  
   mg larval wt.  
 Ear Damage  
 Corn Leaf Aphid (*Rhopalosiphum maidis*)  
 Corn Sap Beetle (*Carpophilus dimidiatus*)  
 European Corn Borer (*Ostrinia nubilalis*)  
4    1st Generation (Typically Whorl Leaf Feeding)  
       2nd Generation (Typically Leaf Sheath-Collar Feeding)  
       Stalk Tunneling  
       cm tunneled/plant  
 Fall Armyworm (*Spodoptera frugiperda*)  
   Leaf Feeding  
   Silk Feeding  
   mg larval wt.  
 Maize Weevil (*Sitophilus zeamaize*)  
 Northern Rootworm (*Diabrotica barberi*)  
 Southern Rootworm (*Diabrotica undecimpunctata*)  
 Southwestern Corn Borer (*Diatraea grandiosella*)  
   Leaf Feeding  
   Stalk Tunneling  
   cm tunneled/plant  
 Two-spotted Spider Mite (*Tetranychus urticae*)  
 Western Rootworm (*Diabrotica virgifera virgifera*)  
 Other (Specify) —

Z

## 12. AGRONOMIC TRAITS:

5 Staygreen (at 65 days after anthesis) (Rate  
 on a scale from 1=worst to excellent)  
 % Dropped Ears (at 65 days after anthesis)  
 % Pre-anthesis Brittle Snapping  
 % Pre-anthesis Root Lodging  
0.0 Post-anthesis Root Lodging (at 65 days after anthesis)  
4,830.0 Kg/ha Yield of Inbred Per Se (at 12-13% grain moisture)

2

0.0

2,212.5

## 13. MOLECULAR MARKERS: (0=data unavailable; 1=data available but not supplied; 2=data supplied):

1 Isozymes0 RFLP's0 RAPD'sCOMMENTS (eg. state how heat units were calculated, standard inbred seed source, and/or where  
 data was collected. Continue in Exhibit D):

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CLARIFICATION OF DATA IN EXHIBITS B AND C

Please note the data presented in Exhibit C, "Objective Description of Variety," are collected primarily at Johnston and Ankeny, Iowa. The data in Exhibit B are from comparisons of inbreds grown in the same tests in the adapted growing area of PH3KP and in Johnston and Ankeny, Iowa. The data in Tables 1A and 1B are from paired comparisons collected in Johnston and Ankeny, Iowa. The data in Table 2 are from paired comparisons grown primarily in the adapted growing area of PH3KP. These traits collectively show distinct differences between the two varieties.

1475  
S/6/C/1

The data collected in exhibit C were collected in 1997 and 1998 for page 1 and 2. There are environmental factors that differ from year to year and environment to environment. The environments had different planting dates within each year. Environmental temperature and precipitation differences during the vegetative and grain fill periods can impact plant and grain traits and be a source of variability. These data are mostly based on 5 plants measured at each location. There often is more variability associated with year to year factors than from location to location or within locations. Please see Table 3 for average temperature and rainfall information in 1997 and 1998.

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Table 3. Temperature and Rainfall

TEMPERATURE

YEAR	MAY	JUN	JULY	AUG	AVERAGE
1994	59.8	70.7	71.9	69.0	67.9
1995	56.2	69.4	74.3	76.9	69.2
1996	56.2	69.3	71.3	70.5	66.8
1997	53.5	70.6	74.1	69.6	67.0
1998	64.7	66.6	74.8	73.5	69.9
1999	60.7	69.7	78.7	70.5	69.9

RAINFALL

YEAR	MAY	JUN	JULY	AUG	Total
1994	3.67	5.75	1.71	4.18	15.31
1995	5.04	4.19	2.94	2.87	15.04
1996	8.47	4.35	2.51	2.14	17.47
1997	4.32	3.27	4.10	1.36	13.05
1998	6.46	11.07	5.70	4.96	28.19
1999	6.46	4.54	4.45	6.55	21.85

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE

**EXHIBIT E**  
**STATEMENT OF THE BASIS OF OWNERSHIP**

*The following statements are made in accordance with the Privacy Act of 1974 (5 U. S. C. 552a) and the Paperwork Reduction Act (PRA) of 1995.*

*Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).*

1. NAME OF APPLICANT(S) <b>PIONEER HI-BRED INTERNATIONAL, INC.</b>	2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER	3. VARIETY NAME <b>PH3KP</b>
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP, and Country) <b>7301 NW 62<sup>nd</sup> AVENUE P.O.BOX 85 JOHNSTON, IA 50131-0085</b>	5. TELEPHONE (Include area code) <b>515-270-4051</b>	6. FAX (Include area code) <b>515-253-2125</b>
	7. PVPO NUMBER <b>9900380</b>	

8. Does the applicant own all rights to the variety? Mark an "X" in appropriate block. If no, please explain  YES  NO

9. Is the applicant (individual or company) a U.S. national or U.S. based company?  YES  NO

If no, give name of country

10. Is the applicant the original owner?  YES  NO If no, please answer one of the following:

a. If original rights to variety were owned by individual(s), is(are) the original owner(s) a U.S. national(s)?

YES  NO If no, give name of country

b. If original rights to variety were owned by a company(ies), is(are) the original owner(s) a U.S. based company?  
 YES  NO If no, give name of country

11. Additional explanation on ownership (if needed, use reverse for extra space):

PH3KP is owned by Pioneer Hi-Bred International, Inc.

**PLEASE NOTE:**

Plant variety protection can be afforded only to owners (not licensees) who meet one of the following criteria:

1. If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
2. If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed final breeding. See section 41(a)(2) of the Plant Variety Protection Act for definition.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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